

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Gerald M. Goupil, Jr. et al.
Appl. No. : Not Yet Known
Filed : Concurrently Herewith
Title : A HEATING, VENTILATION, AND AIR CONDITIONING SYSTEM
HAVING A FILM VALVE AND FILM FOR CONTROLLING AIR
FLOW

Docket No. : DP-309551 (60408-394)

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR 1.97 AND 1.98**

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to Rule 97 and in compliance with Rule 56, Applicant hereby makes of record the items listed on the attached Form PTO/SB08A. Under the provisions set forth whereby the office waived the requirement under 37 CFR 1.98(a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent publication for all U.S. national patent applications filed after June 30, 2003, Attorney for applicant is not submitting a copy of the noted United States Patents and/or United States Publication noted on the attached PTO/SB08A. However, the Attorney for Applicant is providing the required copies of all the noted Foreign cited patents and copies of any and all noted publications for the offices review and file.

None of the noted items appearing on the attached sheets of PTO/SB08A disclose the inventive concepts claimed in the subject application.

Should there be a fee associated with this document, please charge it to Deposit Account No. 08-2789. A duplicate of this paper is enclosed.

Respectfully submitted,

HOWARD & HOWARD ATTORNEYS, P.C.



February 17, 2004

Date

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Enclosures:
PTO/SB08 (2 Pgs.)

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	
Filing Date	
First Named Inventor	Gerald M. Goupil, Jr
Art Unit	
Examiner Name	
Attorney Docket Number	DP-309551

Sheet 1 of 2

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 5,217,797	06/08/1993	Knox et al.	
		US- 5,230,937	07/27/1993	Effenberger et al.	
		US- 5,326,315	07/05/1994	Inoue et al.	
		US- 6,616,060 B2	09/09/2003	Parisi et al.	
		US- 2001/0034170 A1	10/25/2001	Keese	
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ *Number ⁴ *Kind Code ⁵ (if known)				
		wo 01/70529	09/27/2001	Milliken & Co.		
		EP 0 705 725 B1	04/10/1996	Denso Corp.		

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 2 of 2	Application Number	
	Filing Date	
	First Named Inventor	Gerald M. Goupil, Jr
	Art Unit	
	Examiner Name	
Attorney Docket Number		DP-309551

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	AA	Author: MANN INDUSTRIES, Entitled "MANN TECH" Copyright 1998	
	AB	Author: Nonoyama et al. Entitled: "DEVELOPMENT OF A FILM DOOR TYPE AIR CONDITIONING UNIT" Pgs. 29 - 35, Copyright 1996	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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